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## We do not know the population of every country in the world for the past two thousand years

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#### Abstract

Economists have reported results based on populations for every country in the world for the past two thousand years. The source, McEvedy and Jones' *Atlas of World Population History*, includes many estimates that are little more than guesses and that do not reflect research since 1978. McEvedy and Jones often infer population sizes from their view of a particular economy, making their estimates poor proxies for economic growth. Their rounding means their measurement error is not "classical." Some economists augment that error by disaggregating regions in unfounded ways. Econometric results that rest on McEvedy and Jones are unreliable.

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"... we haven't just pulled the figures out of the sky. Well, not often."

McEvedy and Jones (1978, p.11)

In the past twenty years, economists have reported empirical exercises that rely in part on a published work that reports the population of every country in the world starting in the year 1 CE or even earlier. The existence of such data surprises those familiar with research on population history; we have only a rough idea of the population of most parts of the globe before 1500. For many countries, the statistical lacuna extends closer to the present. Until the advent of modern censuses, which in most countries started during the nineteenth century, reckonings of the total population for even the best-studied cases remain subject to considerable error.

These exercises typically rely on McEvedy and Jones's *Atlas of World Population History* (hereafter MJ). Published in 1978, this work reports a population total for the countries of the world at intervals of a century or half-century. MJ did not disguise the rough nature of their data, as the epigraph notes, and we should distinguish what they report from the way others used their work. Several economists point to a U.S. Census Bureau summary that appears to endorse MJ's estimates. The Bureau simply notes that MJ's estimates for *world* population are not too different from the other, earlier results. As MJ (pp. 353-4) state, however, that agreement is largely by construction.

The drawbacks to using such data are several. MJ's estimates (as they suggest themselves) lacked, in many cases, any firm foundation at the time they wrote. Often the estimates appear to reflect a judgment about the nature of the economy in question, rendering their use as economic proxies partially tautological. The MJ estimates are out-of-date for some countries; researchers have provided better

<sup>&</sup>lt;sup>1</sup> Acemoğlu et al (2002, p. 1242) and Ashraf and Galor (2011, Note 14) each refer to a webpage that no longer exists. This page https://www.census.gov/data/tables/time-series/demo/international-programs/historical-est-worldpop.html lists only world population totals. I discuss the other estimates below.

figures in the past forty years. Economists tend to dismiss measurement error issues by appealing to the implications of "classical" measurement error. MJ's clearly-stated rounding rules mean the measurement error is not classical. Non-classical measurement error creates several opportunities for bias in regression models. Economists have compounded these weaknesses with unwise disaggregation practices.

Many economics articles, include several highly-cited contributions in the leading journals, rely on MJ for econometric exercises. This research has appeared in the leading general-interest economics journals; in development and growth-oriented journals; and in the main field journals for economic history. Several of these papers have been cited many times.<sup>2</sup> The present paper raises serious questions about the results in any econometric exercise that relies on MJ.

A natural way to assess the consequence of using MJ's estimates would be to re-estimate published models using corrected population data. If the correct data were available, we could re-estimate specific models that appear in published papers and assess consequences of the measure-error problems discussed here. This is obviously not possible because we lack the correct data. What I do, instead, is to study the way MJ assemble and round their estimates. This permits us to draw on an econometric literature to understand the difference between a model estimated using MJ and a model estimated using corrected population data. I then discuss more specifically the way some economists have used this population data. A brief replication exercise using Nunn and Qian (2011) shows that some published results are not robust to careful consideration of the problems in the MJ data.

#### 1. The source

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<sup>&</sup>lt;sup>2</sup> As of December 2021, Google Scholar lists more than 15 citations to MJ in the "Top 5" economic history journals, 8 in the *Journal of Development Economics*, 9 in the *Journal of Economic Growth*, and more than 25 in the four main English-language economic history journals. Some of the most highly-cited empirical papers on the past 20 years rely on MJ. Examples include Acemoğlu (2001) with more than 15,000 citations; Acemoğlu et al (2002) with more than 5,000; Acemoğlu and Johnson (2005), with more than 4,000. The MJ estimates are also a source for historical population in the HYDE database The History Database of the Global Environment. See Goldewijk et al (2017). While this paper focuses on economics, other historically minded social scientists have also relied on MJ. See, for example, Baumard et al (2015).

MJ report a series of graphs of total population in a country (or region – see below), with labels at centuries or half-centuries. Figure 1 reproduces the page for Germany as an example. For the twentieth century, and in some cases the nineteenth, MJ reproduce official census counts as discussed by earlier scholars, sometimes adjusted for changes in national boundaries.<sup>3</sup> Modern censuses did not start anywhere until the late eighteenth century and were not widespread until the nineteenth century.

One would think from reading the economics literature that MJ report precise numbers based on analysis of earlier works. Graphs such as Figure 1, along with MJ's descriptions, suggest a different picture. "There are almost no data on which to base a population estimate for Germany until we reach the late Middle Ages" (p.70). "Estimates of Poland's population before the 14th century are based on nothing more than general ideas about likely [population – T.G.] densities" (p.76). For the Maghreb, "There is really nothing on which to base any calculations before the 19th century" (p.220). These comments are admirably frank, but MJ do, in fact, report population totals for Germany, Poland, and the Maghreb, and economists have used those observations to test hypotheses we view as important. MJ include a bibliography for each group of population estimates, but they typically do not explain how they used the references they list. For Burma they note that the quantitative record consists of a single publication based on a count of houses in 1783 as well as colonial censuses that began in 1871. Yet MJ report population sizes for that country as far back as 400BCE (pp.190-192). This is not an isolated example. In discussing the western hemisphere they refer to debates current at the time they wrote, but those debates suggested large ranges of estimates and pertain to the decades just prior to European contact. Yet MJ provide estimates for countries in this region going back many centuries earlier. Most African entries have the same flavor; the only evidence MJ cites refers to the seventeenth century at the earliest, yet they report estimates for two full millennia.

Caldwell and Schindlmayer (2002, p. 200) emphasize the difficulty of useful population estimates for most of the world, and even for Europe before 1800. Population figures for the large areas of the

<sup>&</sup>lt;sup>3</sup> With exceptions discussed below, MJ rely on the definitions and boundaries of countries as of 1975. Imputing constant-territory population for countries whose borders change over time raises additional issues I do not address.

globe that once fell under European colonial domination may be the hardest part of the problem. The essays on the Americas collected in Denevan (1992) document debates that continue. Carlos et al (2021, p.6), for example, note that estimates of the pre-contact population of North America north of Mexico city in the scholarly literature in the early twentieth century ranged from 1.2 to 18 million persons. Later efforts narrowed that range to 1.2 to 6.1 million people. The demographic impact of colonial contact is one measure of imperialism's impact on indigenous peoples, so these population measures carry considerable interpretative freight. Continuing differences of opinion do not reflect a lack of research.

Systematic discussion of MJ has been limited, but specialists tend not to be impressed. As Austin (2008, p.1102) puts it, "If you look up McEvedy and Jones expecting a treatise, detailing the original evidence and the reasoning behind the judgements by which it was converted into useable data, you will be disappointed." In discussing one particular study that relies heavily on MJ, Austin (2008, p.1002) says that "there is simply no epistemological basis for Nunn's use of the word 'data' – literally, 'things that are given' or granted – to refer to the guesses that have been made about the population of future African countries in 1400."

MJ's effort reflects a long interest in the world's population for distant times. MJ draw on these earlier efforts, which include Colin Clark (1968) and Durand (1974). (Appendix Table A.1 summarizes the leading examples.) Caldwell and Schindlmayr (2002) discuss the intellectual history of these research projects, stressing their skepticism about the apparent consensus in the figures. MJ's effort differs from their predecessors in one important respect: the earlier estimates pertain to large regions or continents. MJ usually report populations for the areas that correspond to modern nation-states.

How did MJ derive population estimates from before, as they say, there was anything on which to base such estimates? Reading their descriptions and examining the figures suggests five overlapping

<sup>&</sup>lt;sup>4</sup> Gregory Clark asserts that for the years prior to 1820, MJ "is largely a work of imagination" (p.1160). Austin is referring to Nunn (2008). MJ understood their work would not please academics: "Even cautious users may well find this a boring book; academics are certain to find it irritating as well. There are many countries whose populations are not known with any certainty today. When we start giving figures for the dim and distant past, better-qualified hackles than ours are going to rise." (p.10).

approaches. In some cases they state explicitly their reliance on one of these approaches, but more often, their method only reveals itself in the estimates. First, they start with the earliest official census and work backward. What Colin Clark (1968, p. 61) calls "jobbing back" can yield good population estimates given the right raw materials and technique. The population of a country in 1500 equals its 1600 population minus deaths and net emigrants, plus births in the period 1500-1600. Wrigley and Schofield (1981, Chapter 7) offer an example of this approach. They start with the reliable census of England and Wales for 1841 and work back in time using estimates of births and deaths, along with more speculative estimates of net migration, to produce annual populations back to 1541. The challenge for earlier periods is that we rarely have anything like good counts of births and deaths, much less migrants, and the effort demands attention to complex sources. Creating the vital events series was the heart of Wrigley and Schofield's project. <sup>5</sup>

Austin (2008, p.1002) stresses that momentous historical events such as the rise of the Atlantic slave trade greatly complicate such efforts. Few areas of the globe have been entirely spared these destabilizing episodes. Sometimes we even lack the equivalent of a reliable end-period enumeration such as the census 1841 census for England and Wales. Recent efforts to improve historical African population counts provide better-reasoned figures than MJ's for that continent, but run into a source problem. The twentieth-century colonial censuses that form their end-period figure are themselves not terribly reliable. In addition, for Africa we lack the sources that would allow us to estimate the earlier population increases needed for useful "jobbing back."

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<sup>&</sup>lt;sup>5</sup> Scholars have questioned Wrigley and Schofield's estimates in many ways, but these criticisms rely on the fact that the original authors had substantive information and clear methods with which others could disagree. Deng (2004) argues that MJ ignored the possibility of using reasonable Chinese sources to do something similar for that country. <sup>6</sup> Frankema and Ewert (2014) describe these efforts and their proposal to improve them. All concerned with this research stress that Africa's population growth rates before the twentieth century have to be guessed by analogy to growth rates in other parts of the world. Gervais and Mandé (2007) document the many difficulties that afflicted colonial efforts to count populations in France's African empire.

A second hint comes from the suspiciously round progression of population figures for single countries. <sup>7</sup> Table 1 shows the overall patterns; in many cases, MJ apparently devised a population estimate after deciding on a round figure for percentage growth. The many commonalities across countries are implausible. Individual country histories drive home the problem. In MJ's reckoning, England's population grew by 750 thousand between 1600 and 1650, and by another 750 thousand in the next half-century (p.43). Austria added 250 thousand people every 50 years between 1650 and 1800 (pp.88-92). Thailand added 250 thousand people in both the sixteenth and seventeenth centuries (p.193). Burma's population growth in the same period was 500 thousand per century.

Third, MJ apparently wanted their estimates to reflect their view that until the late medieval period, population grew at a constant rate. In disagreeing with an earlier author on the right total world population for the year 1000, MJ note that "our figure for AD 1, being 100m below the agreed figure for AD 1000, fits better on the sort of exponentially rising curve that everyone agrees best describes mankind's population growth" (p.354). As the quotation implies, MJ also worried about consistency between theirs and earlier estimates. Caldwell and Schindlmayr (2002, p. 199) call this "an example of a dangerous circularity" while Biraben dismisses the MJ data after noting this fact.<sup>8</sup>

Fourth, in the face of ignorance, MJ felt comfortable assigning identical growth rates to places they thought were similar. This practice doubtless underlies much of what we see in Table 1. For thirty-five percent of countries, MJ assign the same figure to population growth between the years 1 and 1000.

Finally, especially before 1500, MJ tended to reason from the nature of an economy and the population they thought it could support. They are rarely explicit about this tactic, but it shows through in remarks such as "likely population densities" in the passage about Poland quoted above. To the extent

<sup>&</sup>lt;sup>7</sup> Unless otherwise stated, calculations from MJ reported in this paper rely on a dataset provide by James Fenske. MJ report some areas as regions rather than countries, a practice Fenske retained. I refer to this data as the "MJ database." MJ's figure for France has an obvious misprint that I have corrected; their graph implies that the population in 1600 was 18.5 millions, not 10.5 millions.

<sup>&</sup>lt;sup>8</sup> Deng (2004, Note 3) also stresses this problem. Biraben (1979, p.13): "Most authors, in fact, when they describe the population of the world through the ages, evade the difficulties and uncertainties relating to ancient periods by making the hypothesis of a more or less regular exponential growth." (my translation)

that they estimate population in this way, MJ's figures reflect not the population of a particular country at a point in time, but their views about the population density consistent with the kind of economy MJ thought the country had. Since they do not claim any serious knowledge of the economy or of the number of people it can support, the basis for this reasoning is unclear.

#### Maddison

Several of the articles discussed below rely in part on estimates reported by the late Angus Maddison. Maddison famously constructed, updated, and used a database that offered estimates of population and GDP/capita for most of the world's countries, again, in some versions, going as far back as the year 1 CE. <sup>10</sup> For the last major revision of his estimates, Maddison says of his population data: "The following detailed estimates for 1500 onwards rely heavily on monographic country studies for the major countries. To fill holes in my dataset I draw on McEvedy and Jones (1978). For the preceding millennium and a half, I use their work extensively" (Maddison 2001, p. 230). Maddison adds that he relies on MJ rather than earlier accounts because MJ are "the most detailed and best documented."

Thus for many places before 1500, Maddison's database just reproduces MJ's figures. This is not always the case, however; Maddison was able to incorporate the fruits of research published between 1978 and his own publication. This led to some substantive revisions, but those revisions reflect the research literature's emphasis. He updated 23 percent of MJ's observations for the year 1000, for example, and 40 percent of the observations for 1500. The majority of Maddison's changes for the year 1000 were in non-European countries (8 of nine countries that changed are outside Europe). For 1500 this

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<sup>&</sup>lt;sup>9</sup> Caldwell and Schindlmayr (2002, p. 199) refer to MJ as "geographers." It is true that McEvedy (1930-2005) was famous for a serious of atlases intended for general audiences, but he was actually a professional psychiatrist. He wrote seven works for the Penguin Historical Atlas series alone, and three more contributions to the Atlas of World History with his wife Sarah McEvedy. The *Atlas of World Population History* is one of four additional works intended for a broad audience. https://en.wikipedia.org/wiki/Colin\_McEvedy accessed 14 January 2022.

<sup>&</sup>lt;sup>10</sup> The effort continues in the form of the Maddison Historical Statistics project. See https://www.rug.nl/ggdc/historicaldevelopment/maddison/

pattern changes; 10 of 16 changes are for European countries, and in 1700, 8 of 12 are in Europe. These changes reflect contributions from the research literature.

Some individual changes are much larger, however. Maddison added 50 percent to Mexico's population for the year 1000, and he doubled Peru's population in that same year (Maddison 2001, Table B-5, p. 235). He increased the population of the territory that would be the United States by 125 percent for the year 1500. For later periods, especially in the twentieth century, Maddison revises the MJ estimates more comprehensively. In 1850, 84 percent of Maddison's 51 observations have values different from MJ's, although the average absolute difference (3 percent) is smaller than for earlier years.<sup>11</sup>

#### 2. Measurement error and rounding

Relative to "perfect" data for every country in the world, how far wrong will MJ take us? It is worth reviewing some general consequences of measurement error for the kinds of linear models that most researchers use. Denote the true population of country i in year t as  $\dot{P}_{it}$ .  $P_{it}$  is the MJ estimate. The difference between MJ's estimate and the true population is measurement error  $\varepsilon_{it}$  such that  $P_{it} = \dot{P}_{it} + \varepsilon_{it}$ . Classical measurement error is the special cases where  $\varepsilon_{it}$  is uncorrelated with  $\dot{P}_{it}$ . We have two general implications. First, classical measurement error in the dependent variable alone does not bias estimates. The  $\varepsilon_{it}$  are swept into the regression error term, and the only consequence is some efficiency loss. Second, measurement error in any regressor implies bias in all of the estimates.

Consider the following regression:

$$Y_{it} = \alpha + \beta X_{it} + \gamma P_{it} + \mu_{it}$$
 (1)

<sup>&</sup>lt;sup>11</sup> The numbers in the text reflect only countries that appear in both the MJ and Maddison datasets. Maddison did not hazard guesses for many countries that appear in MJ. I adjusted the figures so MJ's rounding would not create a false different with Maddison. See below. Maddison (2007) offers further revisions and extends some of the estimates back to ancient times, butmost of these revisions pertain to the twentieth century.

<sup>&</sup>lt;sup>12</sup> Standard textbook treatments include Greene (2018, pp.102-3 and pp. 281-288) as well as Wooldridge (2010, pp.78-82).

where  $P_{it}$  is the mis-measured variable. While I write (1) for a panel framework that is not necessary to what follows. Classical measurement error in  $P_{it}$  implies that the estimate for  $\gamma$  will be smaller in absolute value than it would be if we could use  $\dot{P}_{it}$  instead. The estimate is attenuated. The estimate for  $\beta$  will also be biased in ways we cannot ordinarily sign. The problem arises from the correlation between the measurement error  $\varepsilon_{it}$  and the regression error term  $\mu_{it}$ , which is why some researchers employ instrumental-variable techniques in using the MJ data as a regressor. The fixed-effects estimator does not necessarily yield unbiased estimates in the presence of even classical measurement error. Fixed effects only "deals with" measurement error if the errors in  $P_{it}$  are, for each country i, the same for all years. In that case, the measurement error becomes part of the estimated country fixed effects (Deaton (1997, pp. 108-110)). 13

If measurement error is not classical then we need to model the relationship between the measurement error and the variable in question. The model needs to take into account how the error arose because measurement error's implications depend on its correlation with the measured and true values. Hyslop and Imbens (2001) discuss cases where measurement error in a regressor leads to over-estimates of that coefficient, instead of the attenuation we expect with classical measurement error. Non-classical measurement error in the dependent variable can also lead to attenuated estimates for a regressor.

What does this mean for econometric studies that used mis-measured population estimates? If we maintain the assumption that the measurement error is classical, we can say two things. When population is the dependent variable the estimates may be less efficient, but there should be no bias due to measurement error alone. If population is a regressor, on the other hand, then the estimate for population will be attenuated. Additionally, the other estimates in this case will be biased and inconsistent. Thus using population as a "control" can lead to bias even for variables not thought to suffer from measurement

<sup>&</sup>lt;sup>13</sup> If the errors are the same for all countries in a year, then they become part of year fixed effects.

error. If the error is not classical, on the other hand, then we cannot say much without modelling the measurement error.

While economists tend to assume that measurement error is always classical, in this case, we know that not to be the case. MJ state that they have rounded their estimates in ways that make the measurement error depend on the true value. This rounding applies to every country and every period, but the rounding rule depends on the population size. This means the measurement error depends on population size:

All figures are rounded on the following system: below one million to the nearest .1 million, between one and 10 millions to the nearest .25 million, between 10 and 20 million to the nearest .5 million and between 20 and 100 millions to the nearest million. Above 100 million the rounding is to the nearest 5 million, above a billion... to the nearest 25 million. (p.9)

Thus, MJ tell us that they create measurement error that is larger for larger populations. We cannot know precisely the implications of MJ's rounding rules. We can, however, simulate the "true" populations to get some feel for how much trouble the rounding can cause. I use a Monte Carlo exercise to simulate the rounded-off portion of each population estimate. Adding that rounded portion to MJ's reported numbers yields a simulated "true" population. We can then ask whether that true population is correlated with the error caused by rounding. This exercise can only address the measurement error caused by rounding; the other flaws remain. Table 2 shows the result: the rounding induces a high degree of correlation between the measurement error and the population. This result holds for four different assumed functional forms for the rounding error, including two that are asymmetric in different ways. The correlation stems from MJ's different rules for different size categories.

The Appendix Section 2 reports details of this simulation along with two additional assessments of the importance of this rounding. The first uses the populations of the 50 United States for the period 1900-1970. The second uses the populations of countries of the world for the period 1960-2020. In both cases, I apply MJ's rounding rules and examine the correlation between the true values and the errors created by rounding. Rounding for the U.S. states does not produce much correlation, while for the

countries of the world, the correlation between the true value and the measurement error is considerable.

The countries dataset is the closer analogy to MJ because the countries span the entire range of their rounding rules.

MJ's rounding procedure creates a distinct problem when a country's population crosses one of the thresholds implied by their rounding rule. Portugal, they report, had a population of 900 thousand in 1400 and 1.25 million in 1500 (p.103). These figures imply that Portugal's population increased by 350 thousand people, or 39 percent, in those 100 years. Taking the rounding into account, however, implies upper and lower bounds for the population estimate in both 1400 and 1500. The true increase could be as small as 19 percent and as great as 58 percent.

The non-classical nature of the measurement error in MJ poses a serious problem for any estimates that rely on it. We can evaluated earlier, published work under the assumption of classical measurement errors, and that is not a bad place to start. But MJ's rounding applies to every country and period in their data, and means that none of the standard intuitions based on classical measurement error really apply.

#### 3. Circularity

Many economists who use MJ's figures think of population (or a derivative such as population density) as a proxy for an economic aggregate such as output. Critics such as Caldwell and Schindlmayr (2002) and Austen (2008) note MJ often use ideas about the economy to derive an estimate of population size, thus making the population estimates a poor proxy for an economic aggregate. This is especially true in places and times for which the population data are thin. As noted, MJ defend an estimate for medieval Poland by referring to "likely population densities." In a more explicit example, MJ discuss agricultural conditions in a region that comprises the modern states of Columbia, Venezuela and the Guyanas to defend their assumption that until 1500, Colombia always accounted for 2/3 of the region's population (p. 302).

Austen stresses that this approach makes their estimates hostage to ideas about an economy and economic change. It is a particular problem for Africa because we know relatively little about that continent's economic history. Maddison (2001, p.238), for example, adopts MJ's estimates for Africa in preference to earlier alternatives because MJ "assumed a more dynamic growth process." That is, Maddison preferred MJ's *population* estimates because he agreed with their assessment of the African *economy*. Neither Maddison nor MJ offer independent evidence about the African economy. To the extent MJ assigned population estimates based on their perceptions of economic performance, a regression using population as a proxy for growth tells us more about MJ than about economic growth.

#### 4. Soft clones

Researchers who use MJ's data treat them as if they imply independent observations; put differently, if there are N countries listed for a given year, this reflects N pieces of information. This is not always true, for two distinct reasons that I will call "soft" and "hard" clones. MJ themselves create the soft clones. Frankly admitting that they lack meaningful data, they assign to some countries the population dynamics of countries they think are similar. Sometimes they make this approach explicit. After concluding that Afghanistan has no useful population data before the 20<sup>th</sup> century, MJ say that "Perhaps the best approach is to compare Afghanistan with Iran" (p. 156). What they did, in fact, was to assume that Afghanistan had half the population of Iran in every year before 1900. The measurement error for Afghanistan thus has two sources. The Afghan numbers share any measurement error in the figures for Iran, and they also suffer from the error implied by any deviation of Afghanistan's true population dynamics from Iran's.

MJ includes many soft clones. Kenya and Uganda, for example, have identical populations through 1800, although the text does not say why. In some cases they appeal to the idea that neighboring countries should have similar population growth rates: "... the fact that population doubled in most European countries between A.D. 1000 and 1300 can be taken as strong evidence for it doing so in other European countries for which direct evidence is lacking" (p.11). Thus, in their reckoning, Poland,

Hungary, and Czechoslovakia each grew 20 percent between 1000 and 1100. In the fifteenth century European Russia and China each grew by one-third. As late as 1600-1700, Romania and Austria each grew by 11.11 percent. Soft clones probably underlie the patterns we see in Table 1.

#### 5. Hard clones

A final problem reflects both MJ's estimates and the way some economists have used them. MJ report many populations for regions rather than modern countries. Some economists create country-level populations out of the regions by allocating the regional population among the constituent modern nation-states. I will call the resulting countries "hard clones." These clones differ in size within the region in the cross-section. By construction, however, in the time series all members of a clone group share the population growth rate MJ assigned to the region. Hard clones account for an especially large portion of the African country-level observations, but appear in other parts of the world, as well. In Nunn and Qian (2011), clones account for 76 percent of the observations in Africa, 36 percent in Europe, and 41 percent in Asia. In Ashraf and Galor (2011), the clones are similar for these continents; three-quarters of their Western Hemisphere countries are clones.<sup>14</sup>

Figure 2 reports the MJ page for one such region. The literature includes two different ways to create countries out of regions. Nunn (2008, p.170) assumes that the relative sizes of the populations within each region are the same as reported for 1950. Nunn and Qian (2011) do not say explicitly how they disaggregated the regions, but for most countries their population figure are similar to Nunn's, so the approach is probably similar. Ashraf and Galor (2011, 2013) disaggregate the regions by assuming that each country within a region has the same population density in each year. In general, the resulting "country" populations created by the two methods differ in the cross-section; Nunn and Qian's Nigeria in

<sup>&</sup>lt;sup>14</sup> Appendix A.3 provides detail on the geographic distribution of the hard clones.

<sup>&</sup>lt;sup>15</sup> "For groups of some smaller countries, population data are only disaggregated to a regional level." (Nunn 2008, p.170). The aggregation also affects some of the largest countries, including Nigeria and Congo in Africa, and Ukraine in Europe. Nunn and Qian (2011, p. 644) say only that "country-level population data are from McEvedy and Jones (1978);" the online appendix to that paper does not discuss this issue, nor does their replication code show how the hard clones were created.

1500 is not the same size as Ashraf and Galor's. Yet both cloning methods imply that Nigeria has the same growth rate between any two years. This growth rate is simply the rate implicit in the region from which Nigeria is cloned.

Hard cloning adds error to MJ's guesses; how much is something we cannot say precisely because we do not know the true populations of the clones in those years. We can, however, study the implications of these two methods in contexts where we have the equivalent of valid country-level numbers. For the years 1900-1970, I construct a panel from the population of the 50 United States as reported in the decennial census. I then aggregate the state populations into four standard regions. The state populations (which we know) are analogous to the unknown country populations the hard cloning attempts to recover. The U.S. regions are like the regions MJ report. I apply both the Nunn-Qian and Ashraf-Galor methods to estimating the population of each state in the period 1900-1960, as if all I knew was the population of each state in 1970 (for Nunn-Qian) and the state area and regional population in each year 1900-1970 (AG). Table 3 summarizes the errors these methods produce. In most years, the Nunn-Qian approach produces smaller errors than AG, although those errors are still large. Only in 1960 does the median error from the Nunn-Qian approach fall below 5 percent of the actual state population. The Ashraf-Galor approach produces a smaller median error in the early years, but the variance of the errors using this method are large. The two types of error are not highly correlated in the cross-section. The context of the cross-section.

What does this exercise tell us about disaggregating MJ's regions? The Nunn-Qian method assumes that population growth rates within each region are similar over time. The method goes wrong for regions with a state like California that experienced especially rapid growth in the twentieth century. In 1900, California's population accounted for 34 percent of the "West" region; in 1970 it was 57 percent. This is probably why the Nunn-Qian approach improves monotonically better over time; the 1950s weights better approximate the population distribution in 1960 than in 1900. It also illustrates the danger

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<sup>&</sup>lt;sup>16</sup> The exercise has 50 states in every year by treating territories such as Alaska or Hawaii as states before their actual statehood. I computed the correlation between the Nunn-Qian and the Ashraf-Galor state-level years for every census year 1900-1970. In only one year (1960) was the correlation significantly different from zero at a confidence level of 5 percent or better. See Appendix Section 3 for more detail.

for their research, which starts with MJ's estimates for 1000. Between the year 1000 and 1950 there was plenty of opportunity for the countries within a region to grow at different rates, producing a version of the problem noted for California.<sup>17</sup> Ashraf and Galor's approach, on the other hand, requires that the population densities for countries within a region be similar. This approach fares poorly in the U.S. case because of the unequal population densities within some U.S. regions; the "Midwest" region, for example, includes states like Ohio (204 persons per square mile in 1900) as well as states like North Dakota (nine persons per square mile). The assumption is unlikely ever to hold at a point in time, and the discrepancy between assumption and reality could change over time with the introduction of new crops or changes that lead to uneven economic development within the region. The U.S. experience may not provide a strict analogy to the regions these two methods attempt to disaggregate, but this exercise highlights how far wrong things can go if strong assumptions do not hold. The U.S. case also highlights the questions that we would need to ask before disaggregating data in this way. Do we really know enough about the sub-regional patterns in the Sahel in 1000, for example, to divide up a regional population?

The hard clones play an especially important role in Africa. MJ report only 12 regions for Africa. Nunn (2008)'s Africa has 52 countries, while Nunn and Qian (2011)'s has 47. Three-quarters of the African observations are thus clones. (The clones are 36 percent of European and 41 percent of Asian observations.) Given the difference in methods we expect Nunn-Qian and Ashraf-Galor to assign different populations to the same country, but the differences can be huge. Appendix Figure 1 reports the distribution of the ratio of Ashraf-Galor's clones to Nunn-Qian's for the Old World in 1500. This figure illustrates the great range in the values for a given place and time that result from cloning the MJ regions.

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<sup>&</sup>lt;sup>17</sup> In a personal communication, Quamrul Ashraf noted this feature of the Nunn-Qian approach as a reason to prefer Ashraf-Galor.

<sup>&</sup>lt;sup>18</sup> The difference in the number of observations reflects five small islands that Nunn (2008) treats as countries but Nunn and Qian (2011) exclude. Alternative specifications in Nunn (2008) show that these five observations do not drive the results.

In Africa this ratio ranges from .192 (Malawi) to .61 (Nigeria) through South Africa (1.003) to Congo (2.208) and Côte d'Ivoire (2.887).<sup>19</sup>

The disaggregation problems account for only one of two different sources of measurement error for hard clones. The first comes from MJ itself; MJ's regional estimates are themselves noisy and rounded. Cloning assigns that noise to each of the country-level figures, and adds additional error because we do not really know what the right allocations within a region should be. This additional disaggregation error is by definition negatively correlated for countries within a given MJ region and year. The cloned population estimates cannot be "correct." The implications for change over time, however, are the same: every clone from a given region *must* grow at the same rate, the growth rate MJ assigned to the region. Breaking these regions up into observations does not create more information. It just creates clones.

#### Econometric implications of hard clones

We cannot re-estimate earlier models using correct population data because that is obviously not available. The best check on the implications of cloning would dispense with the disaggregation and reestimate the models using the units MJ reported. Since that check would require redefinition of all the other variables, as well, it lies beyond the scope of this paperWe can, however, show that relying on clones significantly affects the results in published research. The following discussion only considers two cases and focuses on this issue alone. Table 4 considers the baseline results from Nunn and Qian (2011), which studies the old question of whether the potato's introduction in the Old World caused population growth. The regressions use the population of all countries in the Old World at century intervals 1000-1900, along with the years 1750 and 1850. The dependent variable is always population, so the concern here is measurement error in the dependent variable. Although they report and discuss other specifications, Nunn and Qian focus on models in which the regressor of interest is the interaction between an index of the fraction of a country's land that is suitable for potato cultivation and a dummy for

<sup>&</sup>lt;sup>19</sup> These calculations compare Nunn and Qian (2011) to Ashraf and Galor (2013), using the respective replication files. The differences are not confined to Africa: the ratio for Ukraine is .453 and 2.439 for Pakistan.

the years 1750 and later. They regard this interaction as a proxy for the effect of the potato's actual introduction.<sup>20</sup> Every specification includes year and country fixed effects. Some models have no additional controls: we focus on models that include the "baseline" controls.

My Column (1) reproduces the result from Nunn and Qian (2011), Table IV Column (1). As they stress, the interaction implies that the potato's introduction increased population sizes in the years 1750 and later. When we drop the African clones (Column (2)), however, the point estimate (and the average marginal effect) are no longer significantly different from zero. Dropping all clones (Column (3)) does not produce this effect; the problem appears to be the African clones. On the other hand, if we drop all of Africa (Column (4)) the point-estimate and AME become even smaller. Given that about three-quarters of the African observations are clones, it is difficult to know whether Africa in general does not fit the story, or if there is something particular to African clones.

The next three specifications repeat (2)-(4) using Nunn and Qian's data but use the Ashraf-Galor definition of clones. The results differ somewhat, but the overall message is the same. In this model, it does not matter how we construct the clones; dropping Africa's clones, all clones, or all of Africa has the same effect as with Nunn and Qian's definition. Table 4 holds two lessons. First, the Nunn-Qian result depends critically on the inclusion of Africa or its clones. This may not hold for all of Nunn and Qian's specifications, although the Appendix Section 4 demonstrates the same problem in their fully-flexible approach. Second, and more generally, in this example it does not much matter how we construct the hard clones. This follows from including country-level fixed effects. Since identification comes from within-country change, and since the clones, however constructed, all have the same growth rates as the regions from which they are disaggregated, in this type of model the error created by cloning does not depend on how the clones are constructed.

Fixed-effects models cannot cure measurement error in general, as I stressed above. Even the countries that are not clones in the Nunn-Qian or Ashraf-Galor datasets have rounding error plus the

<sup>&</sup>lt;sup>20</sup> Appendix Section 4 discusses the "full-flexible" results Nunn and Qian report in their Table II.

measurement error inherent in MJ's guesses. This replication exercise makes a narrow and specific point. I have shown that first, Nunn and Qian's results depend on including African observations that are really clones, and second there is no important difference between the additional measurement error created by two different ways of creating hard clones.<sup>21</sup> Surely those who would rely on MJ in the future should at least dispense with the clones and use as their units of analysis the regions that appear in the population data.

#### 6. How economists use MJ

To obtain a more specific idea of how economists use these data, I examined every paper that cites MJ that was published in one of the "Top 5" economics journals through 2020.<sup>22</sup> Many of these papers I set aside for the rest of this discussion. This list includes a few articles that cite MJ but do not use the data in econometric exercises. Shiue and Keller (2007, p.1194), for example, cite MJ and other authorities as implying that their two regions, China and Europe, had similar populations at the end of the eighteen century. Rogers (1994, p.467) cites MJ to defend an assumption that long-term population growth rates were nearly zero until relatively recently. This usage seems consistent with the spirit in which MJ offer their estimates. I also set aside papers that only use MJ's estimates for 1900 and later. By that date, the information MJ reports comes almost entirely from reasonable census reports (although they round even these figures). This includes articles such as Acemoğlu et al (2001).<sup>23</sup>

A first question pertains to dates; the MJ data are more suspect in earlier periods. The year 1500 does not form a magical dividing line, but it is the earliest year for which we have anything like reliable estimates for populations of even most European countries, which tend to have the best-founded

<sup>&</sup>lt;sup>21</sup> Appendix Section 4 also reports a similar exercise for Ashraf and Galor (2011). In that case, the regressor of interest remains significant when I drop the clones, but the magnitude of the point-estimates changes dramatically.

<sup>&</sup>lt;sup>22</sup> These are *The American Economic Review*, the *Quarterly Journal of Economics*, *The Review of Economic Studies*, and the *Journal of Political Economy*. *Econometrica* did not publish any papers meeting this criterion.

<sup>&</sup>lt;sup>23</sup> I also set aside two intermediate cases. Putterman and Weil (2010) rely on MJ's year 1500 estimates for some of their analysis, but they first aggregate the data to 11 large regions "because population data for 1500 are very noisy, particularly at the country level." See their note 11. Voigtländer and Voth (2012) rely on MJ's figures for Europe in the period 1000-1700, but do not report econometric estimates.

estimates. Several articles depend in a serious way on MJ's population estimates from before 1500. Ashraf and Galor (2011) report econometric results that depend critically on population data from the years 1, 1000, and 1500. Population is the variable of interest in Nunn and Qian (2011), which starts with the year 1000. Nunn (2008) uses the 1400 estimates alone.<sup>24</sup> Several other papers also rely on data from 1500-1800.

A second issue is whether MJ's population figures form the dependent variable or a regressor. Here I include variables constructed from the MJ population data and something else. The most common such examples are population densities and the urbanization rate. As noted, even classical measurement error cannot be benign when the variable in question is a regressor. Many articles use as their dependent variable either population itself, or a quantity divided by population. The latter has the effect of controlling for population size but doing so in the dependent variable, where classical measurement error does least harm. These include Acemoğlu et al (2002, 2005) as well as Ashraf and Galor (2011, 2013) and Nunn and Qian (2011).<sup>25</sup>

Some articles, however, create regressors from MJ's estimates. This list includes Iyigun (2008) as well as Gennaioli and Voth (2015). Iyigun (2008) studies whether military pressure from the Ottoman Empire helped reduce conflict among European states in the early-modern period. The econometric models rely on annual observations for the period 1450-1700. The dependent variables measure intra-European conflict. The controls include measures of Ottoman military pressure as well as the populations of Europe and, in some specifications, the Ottoman Empire's. Iyigun describes the population data as a proxy for economic "size and strength." (p.1476). The estimated effect for European population size is imprecisely-estimated in most specifications, while the Ottoman population variable is more precisely estimated but switches signs, depending on the dependent variable. The point-estimates for both population variables *must* be attenuated if this is classical measurement error, so we cannot really say

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<sup>&</sup>lt;sup>24</sup> "Rely on" in the sense that these years are part of the sample used to estimate the primary regression results. Nunn and Qian (2011) do not need data from the western hemisphere.

<sup>&</sup>lt;sup>25</sup> Acemoğlu at el (2005) use MJ's population figures to weight their regressions.

whether Europe became more peaceful simply because of economic growth, nor can we assess the implications of Ottoman economic conditions for European conflict. Moreover, the estimates for his main variable of interest, the extent of Ottoman military incursion into Europe, may be biased because of the measurement error in population.

Gennaioli and Voth (2015, Table 3) address a related question, and their population figures cause similar trouble. They study the determinants of battle success in early-modern European conflicts. The authors set this up as a horse-race between fiscal strength on the one hand and population size on the other. Greater fiscal strength allows a state to pay more mercenaries and support more allies. Population size could matter to early-modern war because larger populations make it easier to field larger armies. In most specifications, the fiscal variable has a positive and significant effect on battlefield success, while the relative populations of the two combatants has almost none. They conclude that "Differences in population size do not have a systematic effect on the chance of battlefield success." (p.1430) This result could reflect nothing more than the measurement error in MJ's estimates.

A third issue pertains to how the authors confront the possibility of measurement error in the population data. Acemoğlu et al (2002, 2008) explicitly discuss measurement error. Most of the other articles by Acemoğlu and co-authors avoid using the population estimates as a regressor, and when they do, they use IV models that are motivated in part by a desire to overcome it (for example, Acemoğlu et al (2002, 2008)). Others take a different approach. Ashraf and Galor (2011, p. 2011) claim:

The most comprehensive worldwide cross-country historical estimates of population and income per capita since the year 1 CE have been assembled by Colin McEvedy and Richard Jones (1978) and Angus Maddison (2003), respectively. Indeed, despite inherent problems of measurement associated with historical data, these sources remain unparalleled in providing comparable estimates across countries in the last 2,000 years and have, therefore, widely been regarded as standard sources for such data in the long-run growth literature.

They do not argue that MJ's data meet any particular standard. Rather, they know of nothing better (it is "unparalleled") and everyone else uses it (it is the "standard source in the long-run growth literature").

Nunn and Qian (2011, p.616) address measurement error more explicitly, but their discussion consists of general statements that are not relevant to the MJ data:

Accuracy is an obvious concern for historical data that span such a long time horizon and broad cross-section. However, classical measurement error in our outcome variables will not bias our regression estimates. Similarly, any systematic measurement error that varies by time-period or by country is captured by the country and year fixed effects, which are included in all specifications.

Population is their dependent variable so they are correct that if the measurement error is classical, it does not bias their results. They provide no reason to think this is true, and, as noted, MJ say it is not true. The second statement about fixed effects is equally true but irrelevant to the case. Neither of these extreme assumptions is likely. Nor can they be true simultaneously.

#### 7. Conclusions

We know the population of the United States in the year 2020 to a high degree of accuracy. If we wanted to improve on that accuracy, we could draw on a variety of sources to reduce the error in the existing estimates. This is probably true of many other times and places although in some instances the improvements may be relatively small. Refining the estimates for Poland in 1400, for example, may just require consulting more published works, but might require original research using (for example) essentially archeological approaches. But it can be done. It would not be useful to assert that because we cannot know the population of Poland in 1400 to the same accuracy as we can in 2020 that there is no point in using historical population counts. The opposite extreme is more common and pernicious: many economists take the view that the accuracy of historical data does not matter because they cannot be as precise as modern reports.

We can do, and in fact have done, better. Consider one example. MJ's estimates imply that the population of England and Wales grew at an average annual rate of .32 percent in the period 1600-50. Wrigley and Schofield's figures put that rate at .5 percent. For the period 1650-1700, the estimated

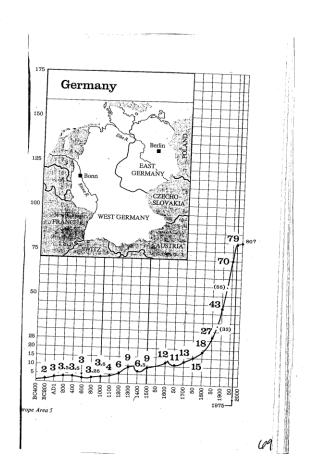
growth rates are .26 in MJ and -0.07 in Wrigley and Schofield; for 1700-1750 they are .10 and .26. The differences are substantial. MJ missed the population stagnation of the second half of the 17<sup>th</sup> century and significantly under-stated the population growth of the first half of the 18<sup>th</sup> century. Population figures underlie, directly, any statement about per-capita GDP or its growth rate and are thus central to understanding the Industrial Revolution. We can do much better than MJ's guesses for many countries, especially in the period since 1500 (eg, Vos 2014, pp. 366-369).

Econometric estimates that rely on MJ form a particular literature that takes a "cross-country regression" approach to economic growth, political economy, and related questions. Economists differ on the wisdom of the general research strategy, and those who favor such studies may insist that some data are better than none. Even those who take this view, however, should be aware of the pitfalls in the source and the way some use it. As I have noted, Acemoğlu and his co-authors tend to use MJ as carefully as one can. Others have compounded MJ's weaknesses by trying to create information that is not in the source. Some of the research discussed here appeals to the idea that classical measurement error does not cause bias in linear models when the measurement error affects the dependent variable only. This observation is mathematically true but not relevant to the MJ data.

This paper documents a series of problems in a published source that underpins many articles published in the leading general-interest economics journals. Publication in these outlets has strong professional rewards and conveys signals. One signal is that if everyone does something inappropriate, then it is fine. A second signal discourages the original work necessary to improve the basis of our knowledge. The researchers who did the spadework on which MJ is based understood themselves as contributing to broader literatures in the social sciences. Their contributions were rewarded within their own niches. The same applies to all of the effort that went into constructing the considerable information on historical economies that Maddison summarizes. To the extent the profession signals lack of interest in such work then it is unlikely we will ever learn more about, for example, the population of Poland in 1400.

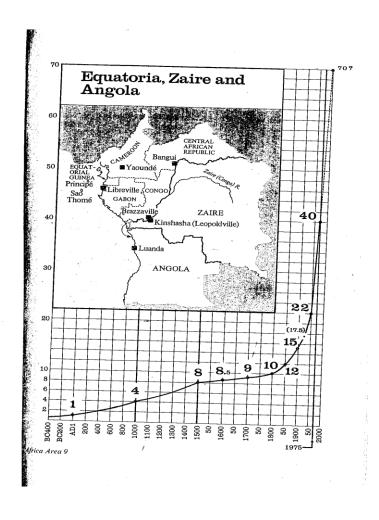
This discussion holds a simpler lesson. Many economists today download a dataset and merge it onto other datasets without consulting the original sources. Examining MJ's book is instructive. The introduction explains the problem of non-classical measurement error. A look at the graphs (such as my Figure 1) would lead most to treat the data with considerable caution. And anyone looking at the Figure 2 should immediately wonder how Africa can have so many countries in the dataset.

Figure 1: MJ's page for Germany



Source: MJ p.69

Figure 2: MJ's page for western Africa



Source: MJ p. 249

Table 1: Summary of inter-period percentage changes in MJ

Period	Number of	Percentage	Most common values						
	countries in dataset			Most common		Second most common		Third most common	
		"round"	Value	Percent	Value	Percent	Value	Percent	
1-1000	97	68	100	35	0	9	50 & 66	5	
1000-1500	104	56	100	20	12	12	50	7	
1500-1600	106	50	0	16	25	15	50	7	
1600-1700	107	57	0	27	7	9	5	6	
1700-1800	122	63	50	9	20	28	100	6	
1800-1900	126	31	100	10	66	5	50 & 60	4	

Source: Computed from the MJ database.

<u>Note</u>: "Round" means the inter-period percentage change is evenly divisible by 50. I computed the percentage changes and then rounded them to the nearest integer value (e.g., 33.2 becomes 33 percent). This procedure has no effect until the last period.

The table shows that, for example, of the 106 countries for which MJ report data in 1500 and 1600, half have an implied percentage change in population that is a round figure. The modal change for that period is 0; MJ think 16 countries had that modal growth rate. The second most common figure is 25 percent and the third is 50 percent.

Table 2: Simulating unrounded figures in MJ's data

	Year						
	1000	1500	1800				
Panel A: The percent of experiments in which p < .10							
Uniform	72.2	83.4	86.6				
Normal	86.7	94.3	93.4				
Beta	80.2	86.9	89.5				
1- beta	79.2	84.8	89.9				
Number of valid observations in MJ data that year	97	101	118				
Panel B: Number of countries in MJ's rounding categories							
Less than 1 million 1-10 million 10-20 million	55 40 0	44 50 5	46 51 5				
20-100 million	2	0	4				

Source: MJ data and own calculations.

100 million to 1 billion

Note: The upper panel reports the results of 1000 Monte Carlo draws that assume the stated distributional form for the population value MJ rounded-off. Each experiment computes the correlation between the simulated "true" value and the simulated error induced by rounding. The p-values are for the null hypothesis that the correlation is zero. Small p-values for the correlations indicate violation of the classical measurement-error assumption. See text and Appendix section 2 for details of computation. The beta distribution assumes parameters 1 and .5. The beta distribution is asymmetric; "1- beta" places the thicker part of the density on the left-hand side instead of the right-hand side. Panel B reports the number of countries affected by MJ's different rounding rules. No countries in MJ have populations larger than 1 billion in these years, so their last rounding category does not appear in the table.

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Table 3: The errors created by applying hard clone methodology to U.S. states, 1900-1970

	Median		25 <sup>th</sup> perc	entile	75 <sup>th</sup> perce	75 <sup>th</sup> percentile	
Year	NQ	AG	NQ	AG	NQ	AG	
1900	20.05	43.80	-7.30	-29.26	38.21	63.41	
1910	18.17	25.44	-8.09	-39.65	34.31	64.18	
1920	16.85	4.95	-6.89	-150.56	31.18	40.95	
1930	9.74	0.74	-10.93	-176.84	25.66	32.81	
1940	9.59	0.68	-9.79	-148.66	21.04	45.71	
1950	5.88	-0.15	-5.35	-153.68	15.31	45.67	
1960	1.69	-9.19	-2.33	-203.12	5.91	41.38	
1970	0.00	-5.62	0.00	-188.19	0.00	39.05	

Source: Computed from MJ database

Note: All figures are the error as a percentage of the actual state population in that year. There error is defined as the actual population minus the population implied by the method in question. There are 50 states in each year (the tables considers territories that became states later as states). "NQ" (Nunn-Qian) assumes that the relative population sizes within a region in 1970 were true in all previous years. For this method, 1970 is accurate by construction. "AG" (Ashraf-Galor) assumes that every state within a region has the same population density in each year. The calculations assume four regions: Northeast, Midwest, South, and West. See text and appendix for details.

Table 4: The econometric consequences of hard clones

	(1)	(2)	(3)	(4)	(5)	(6) Ashraf-	(7) Ashraf-
Cloned using:	Nunn-Qian	Nunn-Qian	Nunn-Qian	Nunn-Qian	Ashraf-Galor	Galor	Galor
Potato x post 1750	0.0325	0.0296	0.0575	0.0207	0.0330	0.0312	0.0223
	(0.0116)	(0.0172)	(0.0209)	(0.0184)	(0.0116)	(0.0171)	(0.0183)
Constant	12.64	12.84	13.29	12.77	12.66	12.82	12.74
	(0.0324)	(0.0433)	(0.0563)	(0.0448)	(0.0322)	(0.0429)	(0.0444)
Observations	1,552	1,108	712	964	1,552	1,108	964
R-squared	0.990	0.990	0.985	0.991	0.991	0.991	0.992
Sample	All	-Afr clones	No clones	No Africa	All	-Afr clones	No Africa
AME	.003	.003	.006	.002	.003	.003	.002
SE of AME	.001	.002	.002	.002	.001	.002	.002

Source: Computed from the replication files for Nunn and Qian (2011).

Note: The dependent variable is population. The regressions have fixed effects for time period and country. The standard errors are clustered by country. The models in (2) and (6) drop all clones on the continent of Africa. All specifications include the "baseline" controls. See Nunn and Qian (2011, Table IV). In Columns (1)-(4) the hard clones are computed using the Nunn-Qian approach; in (5)-(7), using the Ashraf-Galor approach. "AME" is the average marginal effect for the potato/post 1750 interaction.

#### Datasets used in this paper

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Maddison data: https://www.rug.nl/ggdc/historicaldevelopment/maddison/original-maddison, accessed 22 January 2022

WB data: https://data.worldbank.org/indicator/SP.POP.TOTL, accessed 22 January 2022.

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